

# Solutions to problems in Ch.9

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## 1 Problem 9.1

(a) May assume that  $X_0 \supseteq X_1 \supseteq \dots$  by taking intersections.

Let

$$f(n) = \begin{cases} 0 & \text{if } \forall m, n \in X_m \\ 1 & \text{if } n \notin X_0 \\ m+2 & \text{where } m = \max\{m \mid n \in X_m\} \end{cases}$$

Since  $F$  is a p-point, there is  $Y \in F$  s.t.  $F$  is constant or finite to one on  $Y$ . Notice  $f$  cannot be constant on  $n \geq 1$ , since then  $Y$  would be disjoint from some element not in  $F$ . If  $f$  is constant on 0, then  $Y - X_n = \emptyset$  for all  $n$ . If  $f$  is finite to one on  $Y$ , then  $Y - X_n = \bigcup_{0 < m \leq n+2} X_m$  which is finite.  $\square$

(b) Let  $Y_n = \bigcup_{m \geq n} X_m$  and apply (a). Then the case that  $f$  is constant on 0 cannot happen as  $\bigcap_n Y_n = \emptyset$ . Then there is  $Y$  s.t.  $f$  is finite to one on  $Y$ , then  $Y \cap X_n$  is finite for all  $n$ .

If in addition  $F$  is selective, let

$$\begin{aligned} f : Y &\rightarrow \omega \\ m &\mapsto n \text{ if } m \in Y \cap X_n \end{aligned}$$

By  $F$  is selective, there is  $X \subseteq Y$  s.t.  $f$  is one-to-one on  $X$ , then the conclusion holds for  $X$ .  $\square$

## 2 Problem 9.2

(a) Consider the partition  $\{[n, n+1) \mid n \in \mathbb{Z}\}$  of  $\mathbb{R}$ . If none of  $f^{-1}[n, n+1)$  is in  $F$ , apply 9.1 b and we are done.

Otherwise if say  $f^{-1}[n, n+1)$  is in  $F$ , divide  $[n, n+1)$  into infinitely many intervals and repeat the process.

Eventually, either we get an interval  $I$  with partition  $I_n$  s.t.  $f^{-1}(I) \in F$  and  $f^{-1}(I_n) \notin F$  for all  $n$  and we are done by 9.1 (b), or we have  $I_0 \supseteq I_1 \dots$  s.t.  $f^{-1}(I_n) \in F$ . May assume that  $\bigcap I_n = \emptyset$ .

Apply 9.1 (a) there is  $Y \in F$  s.t.  $Y - f^{-1}(I_n)$  is finite for all  $n$ , then in each  $I_n - I_{n+1}$  there are only finitely many elements in  $f[Y]$  and we are done.  $\square$

(b) For any  $f : \omega \rightarrow \omega$  monotone, consider  $g(n) = m$  where  $f(m) \leq n < f(m+1)$ , this is a finite to one function and since  $F$  is a q-point, there is  $X \in F$  s.t.  $g|_X$  is one-to-one this means that  $|X \cap f(n)| \leq n$ .  $\square$

### 3 Problem 9.3

Remark: in the terminology of [Mathias' paper](https://www.sciencedirect.com/science/article/pii/0003484377) this shows that a Ramsey ultrafilter is a happy family.

(a) Suffice to show that if  $X_0 \supseteq X_1 \supseteq \dots$  for  $X_i \in F$ , then there is  $Y \in F$  s.t.  $Y = \{a_0 < a_1 < \dots\}$  and  $a_{n+1} \in X_{a_n}$ .

By 9.1(a) let  $Z \in F$  be s.t.  $Z - X_n$  is finite for all  $n$ , define

$$g : \omega \rightarrow \omega$$

$$n \mapsto \max\{Z - X_n\}$$

Case 1:  $Y_n = (g(n), g(n+1)] = \emptyset$  for cofinitely many  $n$ , this means that  $g(n) = g(n+1)$  for  $n \geq m$  for some  $m$ . i.e.  $Z \cap X_m$  is constant for  $m$  large enough. Then let  $Y$  be  $Z \cap X_m$  and we are done.

Case 2: For infinitely many  $n$ ,  $Y_n = (g(n), g(n+1)] \neq \emptyset$ . Then we may assume that  $Y_n = (g(n), g(n+1)]$  is not empty for all  $n$  by redefining  $g$ . By 9.1 (b) there is  $Z'$  s.t. there is exactly one  $m \in Z'$  s.t.  $g(n) \leq m < g(n+1)$ . Say  $Z' = \{m_0 < m_1 < \dots\}$ , then one of  $\{m_{2n} \mid n \in \omega\}, \{m_{2n+1} \mid n \in \omega\}$  is in  $F$ , call it  $Z^*$ . Let  $Y = Z \cap Z^*$ , then as  $m_{n+2} \in X_{g(n)+1} \subseteq X_{m_n}$ , we are done.  $\square$

(b) Let  $Y_n = \bigcap \{X_s \mid \max(s) \leq n\}$ , by (a) find  $f : \omega \rightarrow \omega$  s.t.  $f(n+1) \in Y_{f(n)}$ . Then let  $Z = \{f(n) \mid n \in \omega\} \in F$ .  $s$  is s.t.  $\text{ran}(s) \subseteq Z$ , then  $s(n) = f(m+1)$  for some  $m+1 \geq n$ . i.e.  $\max(s|_n) \leq f(m)$  and hence  $s(n) = f(m+1) \in Y_{f(m)} \subseteq X_{s|n}$ .  $\square$

### 4 Problem 9.4

Proceed as hinted, let  $\{X_n^\alpha \mid n < \omega\}$   $\alpha < \omega_1$  be an enumeration of all infinite partition of  $\omega$ .

Recursively construct  $Y_\alpha, \alpha < \omega_1$  s.t.  $Y_\beta - Y_\alpha$  finite if  $\beta > \alpha$ , and

$$Y_{\alpha+1} = \begin{cases} Y_\alpha \cap X_n^\alpha \text{ for some } n \text{ s.t. } Y_\alpha \cap X_n^\alpha \text{ is infinite.} & \text{if such } n \text{ exists} \\ \text{some set s.t. } |Y_{\alpha+1} \cap X_n^\alpha| \leq 1 & \text{otherwise} \end{cases}$$

Let  $U$  be the filter generated by  $Y_\alpha, \alpha < \omega_1$ .  $U$  is an ultrafilter since for arbitrary infinite and coinfinite set  $X \subseteq U$ , it is enumerated as some  $X_i^\alpha$ , then  $Y_\alpha$  either is contained in  $X$  or intersects with  $X$  finitely.

The for any partition of  $\omega$  into  $X_i^\alpha$  where  $X_i^\alpha \notin U$ , then for  $Y_\alpha$ , clause 1 cannot happen and thus  $Y_{\alpha+1}$  satisfies the requirement.  $\square$

## 5 Problem 9.6

(a) Consider the following family of dense set for  $f \in \omega^\omega$ ,  $n \in \omega$ :

$$D_{f,n} = \{t \in \mathbb{P} \mid \exists m > n, t(m) > f(m) \wedge m \in \text{dom}(t)\}$$

(b) For any nice name  $\tau \in (Fn(\alpha, \omega))^{V[G]}$ , by c.c.c.  $\tau$  is a name of  $Fn(\omega \times M, \omega)$ ,  $M \subseteq \alpha$  is countable. Since  $Fn(\omega \times M, \omega)$  and  $\omega^{<\omega}$  are forcing equivalent, may assume  $\tau$  is  $\omega^{<\omega}$  name. Let  $\{p_i \mid i \in \omega\}$  enumerate  $\omega^{<\omega}$ , find  $q_i \leq p_i$  s.t.  $q_i \Vdash \tau(i) = j_i$ . Define  $g : i \mapsto j_i$ ,  $g$  is a function in  $V$ . Since there is no  $p$  s.t.  $p \Vdash \forall m > n, \tau(m) > g(m)$  as there is an extension of  $p = p_i$  that forces  $p\tau(i) = j_i$  by construction. Hence  $\tau_G$  is not dominating real.  $\square$

## 6 Problem 9.7

$V[G] \models \aleph_1 = b < \alpha$ : Since  $Fn(\alpha, 2)$  does not add dominating real,  $V \cap \omega^\omega$  is an unbounded family in  $V[G]$  of size  $\omega_1$ .

$V[G] \models \alpha \leq d$ : Let  $F \subseteq (\omega^\omega)^{V[G]}$  be a family of size  $|F| < \alpha$ , we show that it is not a dominating family. Let  $h : |F| \times \omega \rightarrow \omega$  be such that  $\{\lambda n. h(\beta, n) \mid \beta < \alpha\} = F$ . Let  $\tau$  be a name of  $h$ , then there is  $W_0 \subseteq \alpha$  s.t.  $|W_0| \leq |F|$  and  $\tau$  is a  $Fn(W_0, \omega)$  name. Fix  $W_0 \subseteq W \subseteq \alpha$  s.t.  $|\alpha - W| = \aleph_0$ . We hence have

$$Fn(W, 2) \times Fn(\omega, 2) \cong Fn(\alpha, 2)$$

And  $V[G] = V[H][K]$  where  $H, K$  are generic filter of  $Fn(W, 2)Fn(\omega, 2)$  respectively. As  $h \in V[H]$ , there is an unbounded real over  $F$  in  $V[H][K]$ . Hence  $F$  is not dominating.  $\square$

## 7 Problem 9.8

$$D_f := \{(x, n) \mid \exists n(x, n) \leq (f', n) \wedge \forall m \geq n f'(m) = f(m)\}$$

is dense.

## 8 Problem 9.9

(a) It follows from the fact that any two condition  $(s, X), (s, Y)$  is compatible. Hence any antichain in  $\mathbb{M}$  gives rise to an antichain in  $2^{<\omega}$ , which we know is c.c.c.

(b)

$$D_X := \{(s, Y) \mid Y \subseteq X\}$$

is dense for all  $X \in F$ .

## 9 Problem 9.10

(a) Let  $X$  be a set s.t. neither  $X$  or its complement is in  $\mathcal{F}$ . Let  $x$  be the Mathias generic real and define  $c$  as  $c(n) = 1$  iff the  $n$ -th element of  $x$  is in  $X$ .

We show that  $c$  is Cohen generic, that is to show for each  $D$  dense in Cohen forcing,

$$\{(s, Y) \mid (s, Y) \Vdash \exists n, \dot{c} \mid_n \in D\}$$

is dense in Mathias forcing. i.e. for any  $D$  dense in Cohen forcing,  $(s, Y)$  we show that there is  $t \in D$  and  $(s', Y') \leq (s, Y)$  forcing  $t \subseteq \dot{c}$ .

Now given  $D$  and  $(s, Y)$ , define  $t_0$  as  $t_0(n) = 1$  iff the  $n$ -th element of  $s$  is in  $X$ . Take  $t \leq t_0$  in  $D$  and since  $Y$  intersects both  $X$  and  $\omega - X$  infinitely, we can design  $(s', Y') \leq (s, Y)$  s.t.  $t(n) = 1$  iff the  $n$ -th element of  $s'$  is in  $X$ . This would force  $t \subseteq \dot{c}$ .

(b) We can show that the 9.1 (b) is actually an equivalence, both for  $p$ -point and selective filter.

If it is not a  $p$ -point, pick a partition  $X_n, n \in \omega$  of  $\omega$  s.t.  $X_n \notin F$  and any  $X \in F$  does not intersect every  $X_n$  finitely. If  $x$  is the Mathias generic real, then  $x - X_n$  is finite by a genericity argument, let  $X_m^*, m \in \omega$  enumerate those  $X_n$  s.t.  $X_n \cap x \neq \emptyset$ . Define  $g : m \mapsto |X_m^* \cap x| - 1$ . We show that this is a Cohen real.

For any  $(s, X)$ , let  $X_{n_1} \dots X_{n_m}$  be the sets that intersects  $s$ , and hence  $\forall n' \geq n_m + 1, X_{n'}' \cap s = \emptyset$ . Define  $t : m \rightarrow \omega$  as  $i \mapsto |X_{n_i} \cap s| - 1$ . Find  $t' \supseteq t$  in  $D$ . Notice  $X$  intersects infinitely many  $X_n$  infinitely, let  $Y_m, m \in \omega$  enumerate those  $X_n$  where  $n$  is greater than  $n_m$ . Hence we can find  $s' \supset s$  s.t. for  $i \in \text{dom}(t') \setminus \text{dom}(t)$ ,  $t' : i \mapsto |Y_{i+1-|\text{dom}(t)|}|$ . Now let  $X'$  be  $X$  minus all  $X_n$  that intersects  $s'$ . Then  $(s', X')$  forces  $t' \subseteq \dot{c}$ .

If it is not a  $q$ -point, the argument is essentially the same except that in the generic argument, you look at  $X_n$  whose intersection with  $X$  is sufficiently large instead of infinite.  $\square$

## 10 Problem 9.11

Pick a partition  $X_n, n \in \omega$  of  $\omega$  s.t.  $X_n \notin F$  and any  $X \in F$  does not intersect every  $X_n$  finitely. If  $x$  is the Mathias generic real, then  $x - X_n$  is finite, let  $X_m^*, m \in \omega$  enumerate those  $X_n$  s.t.  $X_n \cap x \neq \emptyset$ . Define  $g : m \mapsto \max(X_m^* \cap x)$ . We show that this is a dominating real.

For any  $(s, X)$  and  $f \in \omega^\omega \cap V$ , let  $m$  be s.t.  $\forall n \geq m, X_n \cap s = \emptyset$ . We have that

$$Y = \bigcup_{n \geq m} X_n - \{\text{the first } f(n)\text{-th element of } X_n\} \in U$$

Since  $\bigcup_{n \in \omega} \{\text{the first } f(n)\text{-th element of } X_n\} \notin U$ . Then  $(s, Y)$  thinks  $g$  dominates  $f$ .  $\square$